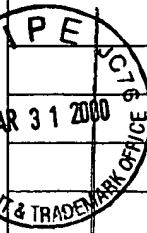
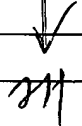
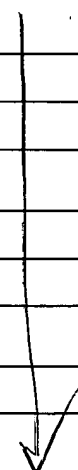
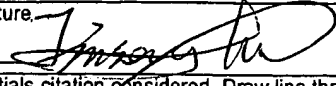


Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06105-003002	Application No. 09/472,964
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant David K. Gifford	
		Filing Date December 28, 1999	Group Art Unit 2756

U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
 	AA	5,345,586	09/1994	HAMALA et al.	395	650	
	AB	5,812,769	09/1998	GRABER et al.	395	200.12	
	AC	5,425,090	06/1995	ORRISS	379	201	
	AD	4,987,587	01/1991	JOLISSAINT	379	94	
	AE	5,774,660	06/1998	BRENDEL et al.	395	200.31	
	AF	5,463,735	10/1995	PASCUCCI et al.	395	200.1	
	AG	5,991,809	11/1999	KRIEGSMAN	709	226	
	AH	6,003,030	12/1999	KENNER et al.	395	200.33	

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation Yes No
	AI	WO 98/18076	04/1998	PCT			
	AJ	WO 96/41285	12/1996	PCT			

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AK	Bowman et al.; "The Harvest Information Discovery and Access System"; http://141.142.3.70/SDG/IT94/Proceedings/... ; 1994
	AL	Luotonen et al.; "World-Wide Web Proxies"; 04/1995
	AM	Kwan et al.; "NCSA's World Wide Web Server: Design and Performance", IEEE; 1995
	AN	Malpani et al.; "Making World Wide Caching Servers Cooperate"; http://bmrc.berkeley.edu/papers/1995/138/paper-59.html ; 1995
	AO	Routing Basics; http://www.ics.muni.cz/cisco/data/doc/cinternet/ito/55171.htm ; 1995
	AP	Jeffery et al.; "Proxy-Sharing Proxy Servers"; IEEE; 1996
	AQ	Oguchi et al.; "A Study of Caching Proxy Mechanisms Realized on Wide Area Distributed Networks", IEEE; 1996
	AR	Ross; "Hash Routing for Collections of Shared Web Caches"; IEEE Network; 11/1997
	AS	Cisco Systems, Inc.; "How to Cost-Effectively Scale Web Servers"; pp. 1-6; posted November 13, 1996; http://www.cisco.com/warp/public/784/5.html
JH	AT	Cisco Systems, Inc.; "The Effects of Distributing Load Randomly to Servers"; pp. 1-18; 1997 or earlier

Examiner Signature 	Date Considered 6/14/05
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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		Filing Date December 28, 1999	Group Art Unit 2756

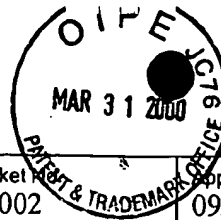
Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AU	Cisco Systems, Inc.; "Cisco Distributed Director"; pp. 1-15; posted February 21, 1997 or earlier; http://www.cisco.com/warp/public/734/distdir/dd_wp.htm
	AV	Cisco Systems, Inc.; "Cisco Distributed Director"; pp. 1-16; posted September 25, 1996; http://www.cisco.com/warp/public/734/distdir/dd_wp.htm
	AW	Wallace; "Load balancing juggles calls at busy Web sites"; pp. 1-2; March 17, 1997 or earlier; http://www.computerworld.com/search/AT-html/9611/96111sl46web.html
	AX	Cisco Systems, Inc.; "Cisco Advantage: Leveraging Intranet and Internet Productivity"; pp. 1-8, posted May 21, 1996; http://www.cisco.com/warp/public/751/advtg/advan_pl.htm
	AY	Gulbransen et al.; "A DNS RR for specifying the location of services (DNS SRV), pp. 1-9, February 26, 1997 or earlier; http://www.internic.net/rfc/rfc2052.txt
	AZ	Davis et al.; "A Means for Expressing Location Information in the Domain Name System"; pp. 1- 16; February 27, 1997 or earlier; http://www.internic.net/tfc/rfc1876.txt
	BA	Erwin et al.; "Forrester: The Forrester Report"; pp. 2-11; December 1, 1996; Vol. 1, No. 1; http://access.forrester.com/cgi-bin...
	BB	Yoshikawa et al.; "Using Smart Clients to Build Scalable Services"; Unisx Association; 1997 Annual Technical Conference; pp. 105-120; January 6-10, 1997 or earlier
	BC	Open Market, Inc. Dr. Watson Product Description, "Software Product Description"; pp. 1-8, January 21, 1997 or earlier; http://www.cavebear.com/dwtnda/spd.html
	BD	Open Market, Inc.; "Frequently Asked Questions About Dr. Watson, The Network Detective's Assistant (DWTNDA) Version 1.2"; pp. 1-9; January 21, 1997 or earlier; http://www.cavebear.com/dwtnda/faq.html
	BE	Paxson; "End-to-End Routing Behavior in the Internet"; University of California, Berkeley and Lawrence Berkeley National Laboratory; pp. 25-38; 1996; SIGCOMM '96 8/96 0-89791-790- 1/96/0008
	BF	Tennenhouse et al.; "Towards an Active Network Architecture"; ACM Computer Communications Reviewer; April 1996; Vol. 26, No. 2; pp. 5-18
	BG	Chankhunthod et al.; "A hierarchical Internet Object Cache"; pp. 153-163; Usenix Technical Conference; January 22-26; 1996
	BH	Crovella et al.; "Dynamic Server Selection in the Internet"; pp. 1-5; June 30, 1995; Proc. Of the Third IEEE Workshop on the Architecture and Implementation of High Performance Communications Subsystems
	BI	Blaze et al.; "Dynamic Hierarchical Caching in Large-Scale Distributed File Systems"; 0-8186-2865- 0/92 IEEE; pp. 521-528; 1992
	BJ	Guyton et al.; "Locating Nearby Copies of Replicated Internet Servers"; Computer Science Dept.; University of Colorado; pp. 288-298; 1995; SIGCOMM '95 Cambridge, MA ACM 0-89791-711- 1/95/0008
	BK	Gwertzman et al.; The Case for Geographical Push-Caching"; pp.1-4; Harvard University; date unknown
	BL	Baentsch et al.; "Introducing application-level replication and naming into today's Web"; Computer Networks and ISDN Systems 28; pp. 921-930; 1996
JH	BM	Deering et al.; "Multicast Routing in Datagram Internetworks and Extended LANs"; ACM Transactions on Computer Systems; Vol. 8; No. 2; pp. 85-110; May 2, 1990

Examiner Signature

Date Considered

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Sheet 3 of 4

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06105-003002	Application No. 09/472,964
		Applicant David K. Gifford	
		Filing Date December 28, 1999	Group Art Unit 2756
		Information Disclosure Statement by Applicant (Use several sheets if necessary)	

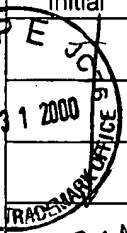
(37 CFR §1.98(b))

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	BN	Bestavros; "Speculative Data Dissemination and Service to Reduce Server Load,..."; Proceedings of ICDE '96; Int. Conf. On Engineering; New Orleans, LA; March 1996
	BO	Bestavros; "Demand-based Document Dissemination to Reduce Traffic and Balance Load in Distributed Information System"; Proc. Of SPDP '95, 7 th IEEE Symposium; San Antonio, TX; October 1995
	BP	Washburn et al.; "TCP/IP Running a Successful Network"; pp. 1-233; and pp. 368-409; Addison-Wesley Publishing Company; date unknown
	BQ	Stevens; TCP/IP Illustrated; Vol. 1; pp. 1-21; 33-51, 85-142, 186-208, 222-228; Addison-Wesley Publishing Company; date unknown; The Domain Name System; pp. 186-208; TCP/IP Transmission Control Protocol; 223-228
	BR	Blaze; "Caching in Large-Scale Distributed File Systems"; Princeton University Department of Computer Science; Research Report CS-TR-397-92; January 1993; pp. 1-88
	BS	Danzig et al.; "A Case for Caching File Objects Inside Internetworks"; pp. 1-10; 1993; ACM SIGCOMM
	BT	PointCast, Inc.; "PointCast Ships The Industry's First Broadcast Solution for Corporate Intranets"; October 7, 1996; 501 Macara Ave.; Sunnyvale, CA 94086
	BU	PointCast, Inc.; "Deployment Guidelines" Technical Paper; May 15, 1997; or earlier; pp. 1-15
	BW	PointCast, Inc.; "Configuring Multiple PointCast I-Servers" Technical Paper; March 19, 1997 or earlier; pp. 1-11
	BX	Alsop; "PointCast and Its Wannabes"; Fortune; November 25, 1996; pp. 181
	BY	BackWeb Technologies, Inc.; "Put an End to Those Nasty Hit and Runs On Your Website"; 1996
	BZ	O'Malley et al.; "A Dynamic Network Architecture"; ACM Transactions on Computer Systems; Vol. 10; No. 2; May 1992; pp. 110-143
	CA	Huitema; "Routing in the Internet"; Prentice Hall PTR; Englewood Cliffs, NJ; pp. 1-60; date unknown
	CB	PointCast, Inc.; "Internal PointCast Network Traffic"; Technical Paper; April 17, 1997 or earlier; pp. 2-11
	CC	InterVU Network Services; "What We Do"; http://www.intervu.net/service/doit.html ; June 8, 1997 or earlier
	CD	Netscape; "Netscape Netcenter"; http://www.netscape.com ; 1998 or earlier
	CE	Resonate, Inc.; "Corporate Backgrounder"; pp. 1-10; date unknown; 465 Fairchild Drive, Suite 224, Mountain View, CA 94043
	CF	Resonate, Inc.; "A Case for Intelligent Distributed Server Management"; pp. 1-9; 1996; http://www.resonateinc.com/dsm.htm
	CG	Resonate, Inc.; "Resonate Dispatch™ 1.0: Distributed Server Management Solutions for the Internet"; pp. 1-3; 1996; http://www.resonateinc.com/datasheet.htm
	CH	W3C; "Propagation, Caching and Replication on the Web"; 1996; http://www.w3.org/pub/WWW/Propagation/
24	CI	SQUID; "Frequently Asked Questions" pp. 1-16; 1996; http://squid.nlanr.net/Squid/FAQ.htm

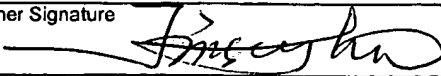
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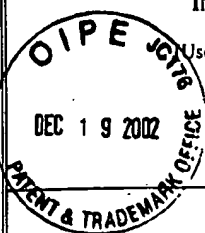
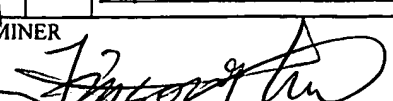
Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06105-003002	Application No. 09/472,964
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant David K. Gifford	
		Filing Date December 28, 1999	Group Art Unit 2756

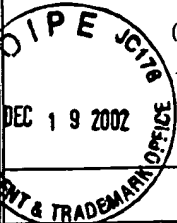

Other Documents (include Author, Title, Date, and Place of Publication)



Examiner Initial	Desig. ID	Document
	CJ	Wessels; "Internet Cache Protocol (ICP); Version 2; pp. 1-7; 1996; http://www.nlanr.net/Cache/ICP/ICP-id.txt
	CK	Internet Middleware Co.; "Cached-3.* Web Cache Datasheet: Beyond Cached-1.4pl2"; pp. 1-2; 1996; http://www.netcache.com/DOC/prod_frame2.html#6
	CL	Survey of caching requirements and specifications for prototype, "Desire: Project Deliverable"; pp. 1-74; 1996; http://www.cc.ruu.nl/~henny/desire/deliveries/del_41.html
	CM	SQUID; "Release Notes - 1.1.txt, V 1.11; pp. 1-5; http://squid.nlanr.net/Squid/1.1beta/Release-Notes-1.1.txt ; December 5, 1996

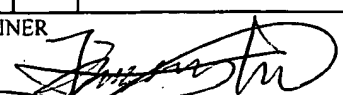
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Examiner Signature 	Date Considered 6/14/05
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PTO-1449 REPRODUCED INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)				ATTORNEY DOCKET NO. CIS00-3127		APPLICATION SERIAL NO. 09/472,964 RECEIVED DEC 19 2002	
				APPLICANT David K. Gifford		DEC 19 2002 GROUP 2756 Technology Center 2100	
				FILING DATE December 28, 1999			
U.S. PATENT DOCUMENTS							
EXAM- INER INI- TIAL		DOCUMENT NUMBER	DATE mm/dd/yy	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
↓ 2H	AA	4,987,587	01/22/91	Jolissaint	379	94	07/26/89
	AB	5,088,032	02/11/92	Bosack	395	200	01/29/88
	AC	5,345,586	09/06/94	Hamala et al.	395	650	08/25/92
	AD	5,425,090	06/13/95	Orriss	379	201	12/07/93
	AE	5,430,729	07/04/95	Rahnema	270	94.1	04/04/94
	AF	5,463,735	10/31/95	Pascucci et al.	395	200.1	02/03/94
	AG	5,600,794	02/04/97	Callon	395	200.01	08/04/95
	AH	5,664,107	09/02/97	Chatwani et al.	395	200.54	06/06/95
	AI	5,740,549	04/14/98	Reilly et al.	705	14	06/12/95
	AJ	5,774,660	06/30/98	Brendel et al.	395	200.31	08/05/96
	AK	5,812,769	09/22/98	Graber et al.	395	200.12	09/20/95
	AL	5,956,716	09/21/99	Kenner et al.	707	10	06/07/96
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES NO
↓ 2H	AM	WO 98/18076	30.04.98	W.I.P.O.	G06F 9	46	
	AN	WO 98/37699	27.08.98	W.I.P.O.	H04N 7	26	
	AO	WO 98/40831	17.09.98	W.I.P.O.	G06F 17	30	
	AP	WO 96/41285	19.12.96	W.I.P.O.	G06F 17	30	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
↓ 2H	AQ	Cisco Systems, Inc.; "How to Cost-Effectively Scale Web Servers"; pp. 1-6, posted November 13, 1996; http://www.cisco.com/warp/public/784/5.html					
	AR	Cisco Systems, Inc.; "The Effects of Distributing Load Randomly to Servers"; pp. 1-18, 1997 or earlier; http://www.cisco.com/warp/public/751/distdir/dd_wp.htm					
	AS	Cisco Systems, Inc.; "Cisco Distributed Director"; pp. 1-14, posted September 25, 1996; http://www.cisco.com/warp/public/734/distdir/dd_wp.htm					
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				6/14/05			

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		FILING DATE December 28, 1999	DEC 19 2002 GROUP 2756 Technology Center 2100
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
	AT	Wallace, Bob; "Load balancing juggles calls at busy Web sites"; pp. 1-2, March 17, 1997 or earlier; http://www.comuterworld.com/search/AT-html/9611/961111SL46web.html	
	AU	Cisco Systems, Inc.; "CiscoAdvantage: Leveraging Intranet and Internet Productivity"; pp. 1-8, posted May 21, 1996; http://www.cisco.com/warp/public/751/advtg/advan_pl.htm	
	AV	Gulbransen, A. et al.; "A DNS RR for specifying the location of services (DNS SRV)"; pp. 1-9, February 26, 1997 or earlier; http://www.internic.net/rfc/rfc2052.txt	
	AW	Davis, C. et al.; "A Means for Expressing Location Information in the Domain Name System"; pp. 1-16, February 27, 1997 or earlier; http://www.internic.net/rfc/rfc1876.txt	
	AX	Erwin, Blane et al.; "Forrester: The Forrester Report"; pp. 2-11, December 1, 1996, Vol. 11, No. 1; http://access.forrester.com/cgi-bi	
	AY	Yoshikawa, Chad et al.; "Using Smart Clients to Build Scalable Services"; Unisex Association, 1997 Annual Technical Conference; pp. 105-120; January 6-10, 1997 or earlier	
	AZ	Open Market, Inc.; Dr. Watson Product Description, "Software Product Description"; pp. 1-8, January 21, 1997 or earlier; http://www.cavebear.com/dwtnda/spd.html	
	BA	Open Market, Inc.; "Frequently Asked Questions About Dr. Watson, The Network Detective's Assistant (DWTNDA) Version 1.2"; pp. 1-9, January 21, 1997 or earlier; http://www.cavebear.com/dwtnda/faq.html	
	BB	Paxson, Vern; "End-to-End Routing Behavior in the Internet"; University of California, Berkeley and Lawrence Berkeley National Laboratory, pp. 25-38, 1996; SIGCOMM '96 8/96 0-89791-790-1/96/0008	
	BC	Tennenhouse, David L. et al.; "Towards an Active Network Architecture"; ACM Computer Communications Reviewer; April 1996, Vol. 26, No. 2, pp. 5-18	
	BD	Chankhunthod, Anawat et al., "A Hierarchical Internet Object Cache"; pp. 153-163, Usenix Technical Conference, January 22-26, 1996	
	BE	Crovella, Mark E. et al.; "Dynamic Server Selection in the Internet"; pp. 1-5, June 30, 1995; Proc. Of the Third IEEE Workshop on the Architecture and Implementation of High Performance Communications Subsystems	
	BF	Blaze, Matthew and Alonso, Rafael; "Dynamic Hierarchical Caching in Large-Scale Distributed File Systems"; 0-8186-2865-0/92 IEEE; pp. 521-528; 1992	
	BG	Guyton, James D. et al.; "Locating Nearby Copies of Replicated Internet Servers"; Computer Science Dept. University of Colorado; pp. 288-298, 1995; SIGCOMM '95 Cambridge, MA; ACM 0-89791-711-1/95/0008	
✓ JH	BH	Gwertzman, James et al.; "The Case for Geographical Push-Caching"; pp. 1-4, Harvard University; date unknown	
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		FILING DATE December 28, 1999	DEC 19 2002 GROUP 2756 Technology Center 2100
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
	BI	Baentsch, Michael et al.; "Introducing Application-Level Replication and Naming into Today's Web"; Computer Networks and ISDN Systems 28; pp. 921-930, 1996	
	BJ	Deering, Stephen E. et al.; "Multicast Routing in Datagram Internetworks and Extended LANs"; ACM Transactions on Computer Systems, Vol. 8, No. 2, pp. 85-110, May 2, 1990	
	BK	Bestravros, Azer; "Speculative Data Dissemination and Service to Reduce Server Load, ..."; Proceedings of ICDE '96, Int. Conf. On Engineering, New Orleans, Louisiana, March 1996	
	BL	Bestravros, Azer; "Demand-based Document Dissemination to Reduce Traffic and Balance Load in Distributed Information System"; Proc. Of SPDP '95, 7 th IEEE Symposium, San Antonio, TX, October 1995	
	BM	Washburn, K. et al.; "TCP/IP Running a Successful Network"; pp. 1-233, and pp. 368-409, Addison-Wesley Publishing Company; date unknown	
	BN	Stevens, W. Richard; "TCP/IP Illustrated"; Vol. 1, pp. 1-21, 33-51, 85-142, 186-208, 222-228; Addison-Wesley Publishing Company; date unknown; The Domain Name System, pp. 186-208, TCP: Trans. Control Protocol, pp. 223-228	
	BO	Blaze, Matthew A.; "Caching in Large-Scale Distributed File Systems"; Princeton University Department of Computer Science; Research Report CS-TR-397-92; January 1993; pp. 1-88	
	BP	Danzig, Peter B. et al.; "A Case for Caching File Objects Inside Internetworks"; pp. 1-10, 1993; ACM SIGCOM	
	BQ	PointCast, Inc.; "PointCast Ships The Industry's First Broadcast Solution for Corporate Intranets"; October 7, 1996; 501 Macara Avenue; Sunnyvale, CA 94086	
	BR	PointCast, Inc.; "Configuring Multiple PointCast I-Servers" Technical Paper; March 19, 1997 or earlier; pp. 1-11	
	BS	Alsop, Stewart; "PointCast and Its Wannabes"; Fortune; November 25, 1996, pg. 181	
	BT	BackWeb Technologies, Inc.; "Put an End to Those Nasty Hit and Runs On Your Website"; 1996	
	BU	O'Malley, Sean W. and Peterson, Larry L.; "A Dynamic Network Architecture"; ACM Transactions on Computer Systems, Vol. 10, No. 2, May 1992, pp. 110-143	
	BV	Huitema, Christian; "Routing in the Internet"; Prentice Hall PTR, Englewood Cliffs, NJ 07632, pp. 1-60, date unknown	
31	BW	PointCast, Inc.; "Internal PointCast Network Traffic"; Technical Paper, April 17, 1997 or earlier; pp. 2-11	
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		FILING DATE December 28, 1999	GROUP 2756
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		RECEIVED DEC 19 2002	
BX	InterVU Network Services; "What We Do"; June 8, 1997 or earlier; http://www.intervu.net/service/doit.html		Technology Center 2100
BY	Netscape; "Netscape Netcenter"; 1998 or earlier; http://www.netscape.com		
BZ	Resonate, Inc.; "Corporate Backgrounder"; pp. 1-10, date unknown; 465 Fairchild Drive, Suite 224, Mountain View, CA 94043		
CA	Resonate, Inc.; "A Case for Intelligent Distributed Server Management"; pp. 1-9, 1996; http://www.resonateinc.com/dsm.htm		
CB	W3C; "Propagation, Caching and Replication on the Web"; 1996; http://www.w3.org/pub/WWW/Propagation		
CC	SQUID; "Frequently Asked Questions"; pp. 1-16, 1996; http://squid.nlanr.net/Squid/FAQ.htm		
CD	Wessels, D.; "Internet Cache Protocol (ICP)", Version 2, pp. 1-7, 1996; http://www.nlanr.net/Cache/ICP/ICP-id.txt		
CE	Internet Middleware Co.; "Cached-3.* Web Cache Datasheet: Beyond Cached-1.4pl2"; pp. 1-2, 1996; http://www.netcache.com/DOC/prod_fram2.html#6		
CF	Survey of caching requirements and specifications for prototype; "Desire: Project Deliverable"; pp. 1-74, 1996; http://www.cc.ruu.nl/~henny/desire/deliveries/del_41.html		
CG	SQUID; "Release Notes - 1.1.txt", v 1.1.1; pp. 1-5; http://squid.nlanr.net/Squid/1.1beta/Release-Notes-1.1.txt ; December 5, 1996		
CH	W. Richard Stevens; "IP: Internet Protocol", pp. 33-141, (Date Unknown)		
CI	W. Richard Stevens; "TCP: Transmission Control Protocol"; Chapter 17, pp. 223-228, (Date Unknown)		
CJ	W. Richard Stevens; "DNS: The Domain Name System"; Chapter 14, pp. 187-208, (Date Unknown)		
CK	W. Richard Stevens; TCP/IP Illustrated; Volume 1, "The Protocols", pp. 1-20, (Date Unknown)		
CL	W. Richard Stevens; "Routing IP"; pp. 367-409, (Date Unknown)		
CM	W. Richard Stevens; "Internet Protocol" pp. 191-233, (Date Unknown)		
CN	"Establishing the Network Foundation" pp. 36-89, (Source and Date Unknown)		
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